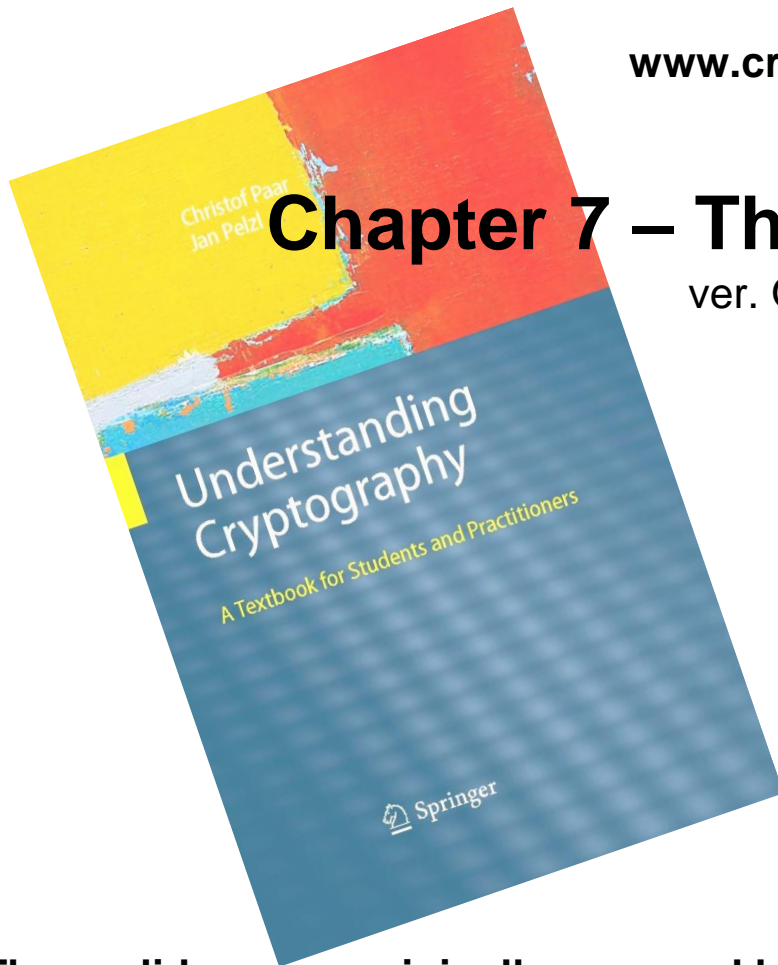


# Understanding Cryptography – A Textbook for Students and Practitioners

by Christof Paar and Jan Pelzl

[www.crypto-textbook.com](http://www.crypto-textbook.com)



## Chapter 7 – The RSA Cryptosystem

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These slides were originally prepared by Benedikt Driessen, Christof Paar and Jan Pelzl. Later, they were modified by Tomas Fabsic for purposes of teaching I-ZKRY at FEI STU.

# Homework

- Read Chapter 7.
- Solve problems from the exercise set no. 5 and submit them to AIS by **28.10.2024 23:59**.

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# ■ Content of this Chapter

- The RSA Cryptosystem
- Implementation aspects
- Finding Large Primes
- RSA in Practice: Padding
- Key Encapsulation
- Attacks and Countermeasures
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# ■ The RSA Cryptosystem

- Martin Hellman and Whitfield Diffie published their landmark public-key paper in 1976
- Ronald Rivest, Adi Shamir and Leonard Adleman proposed the asymmetric RSA cryptosystem in 1977
- RSA was the most used asymmetric cryptographic algorithm during the 1980s and 1990s.
- RSA is still very popular in practice today
- RSA is mainly used for two applications
  - Transport of symmetric keys
  - Digital signatures (cf. Chptr 10 of *Understanding Cryptography*)

# ■ Encryption and Decryption

- RSA operations are done over the integer ring  $Z_n$  (i.e., arithmetic modulo  $n$ ), where  $n = p * q$ , with  $p, q$  being large primes
- Encryption and decryption are simply exponentiations in the ring

## Definition

Given the public key  $(n, e) = k_{pub}$  and the private key  $d = k_{pr}$  we write

$$y = e_{k_{pub}}(x) \equiv x^e \pmod{n}$$

$$x = d_{k_{pr}}(y) \equiv y^d \pmod{n}$$

where  $x, y \in Z_n$ .

We call  $e_{k_{pub}}()$  the encryption and  $d_{k_{pr}}()$  the decryption operation.

- In practice  $x, y, n$  and  $d$  are very long integer numbers ( $\geq 1024$  bits)
- The security of the scheme relies on the fact that it is hard to derive the „private exponent“  $d$  given the public-key  $(n, e)$

# ■ Key Generation

- Like all asymmetric schemes, RSA has set-up phase during which the private and public keys are computed

## Algorithm: RSA Key Generation

**Output:** public key:  $k_{pub} = (n, e)$  and private key  $k_{pr} = d$

1. Choose two large primes  $p, q$
2. Compute  $n = p * q$
3. Compute  $\Phi(n) = (p-1) * (q-1)$
4. Select the public exponent  $e \in \{1, 2, \dots, \Phi(n)-1\}$  such that  $\gcd(e, \Phi(n)) = 1$
5. Compute the private key  $d$  such that  $d * e \equiv 1 \pmod{\Phi(n)}$
6. **RETURN**  $k_{pub} = (n, e), k_{pr} = d$

Remarks:

- Choosing two large, distinct primes  $p, q$  (in Step 1) is non-trivial
- $\gcd(e, \Phi(n)) = 1$  ensures that  $e$  has an inverse and, thus, that there is always a private key  $d$



# ■ Example: RSA with small numbers

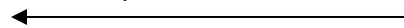
**ALICE**

Message  $x = 4$

**BOB**

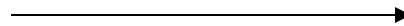
1. Choose  $p = 3$  and  $q = 11$
2. Compute  $n = p * q = 33$
3.  $\Phi(n) = (3-1) * (11-1) = 20$
4. Choose  $e = 3$
5.  $d \equiv e^{-1} \equiv 7 \pmod{20}$

$K_{\text{pub}} = (33, 3)$



$$y = x^e \equiv 4^3 \equiv 31 \pmod{33}$$

$y = 31$



$$y^d = 31^7 \equiv 4 = x \pmod{33}$$

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## ■ Implementation aspects

- The RSA cryptosystem uses only one arithmetic operation (modular exponentiation) which makes it conceptually a simple asymmetric scheme
- Even though conceptually simple, due to the use of very long numbers, RSA is orders of magnitude slower than symmetric schemes, e.g. AES
- When implementing RSA (esp. on a constrained device such as smartcards) close attention has to be paid to the correct choice of arithmetic algorithms
- The **square-and-multiply** algorithm allows fast exponentiation, even with very long numbers...

# ■ Square-and-Multiply

- **Basic principle:** Scan exponent bits from left to right and square/multiply operand accordingly

## Algorithm: Square-and-Multiply for $x^H \bmod n$

**Input:** Exponent  $H$ , base element  $x$ , Modulus  $n$

**Output:**  $y = x^H \bmod n$

1. Determine binary representation  $H = (h_t, h_{t-1}, \dots, h_0)_2$
2. **FOR**  $i = t-1$  **TO**  $0$
3.            $y = y^2 \bmod n$
4.       **IF**  $h_i = 1$  **THEN**
5.            $y = y * x \bmod n$
6. **RETURN**  $y$

- Rule: Square in every iteration (Step 3) and multiply current result by  $x$  if the exponent bit  $h_i = 1$  (Step 5)
- Modulo reduction after each step keeps the operand  $y$  small

# ■ Example: Square-and-Multiply

- Computes  $x^{26}$  without modulo reduction
- Binary representation of exponent:  $26 = (1, 1, 0, 1, 0)_2 = (h_4, h_3, h_2, h_1, h_0)_2$

Step		Binary exponent	Op	Comment
1	$x = x^1$	$(1)_2$		Initial setting, $h_4$ processed
1a	$(x^1)^2 = x^2$	$(10)_2$	SQ	Processing $h_3$
1b	$x^2 * x = x^3$	$(11)_2$	MUL	$h_3 = 1$
2a	$(x^3)^2 = x^6$	$(110)_2$	SQ	Processing $h_2$
2b	-	$(110)_2$	-	$h_0 = 0$
3a	$(x^6)^2 = x^{12}$	$(1100)_2$	SQ	Processing $h_1$
3b	$x^{12} * x = x^{13}$	$(1101)_2$	MUL	$h_1=1$
4a	$(x^{13})^2 = x^{26}$	$(11010)_2$	SQ	Processing $h_0$
4b	-	$(11010)_2$	-	$h_0 = 0$

- Observe how the exponent evolves into  $x^{26} = x^{11010}$

# ■ Complexity of Square-and-Multiply Alg.

- The square-and-multiply algorithm has a logarithmic complexity, i.e., its run time is proportional to the bit length (rather than the absolute value) of the exponent
- Given an exponent with  $t+1$  bits

$$H = (h_t h_{t-1}, \dots, h_0)_2$$

with  $h_t = 1$ , we need the following operations

- # Squarings  $= t$
- Average # multiplications  $= 0.5 t$
- Total complexity: #SQ + #MUL  $= 1.5 t$
- Exponents are often randomly chosen, so  $1.5 t$  is a good estimate for the average number of operations
- Note that each squaring and each multiplication is an operation with very long numbers, e.g., 2048 bit integers.

# ■ Speed-Up Techniques

- Modular exponentiation is computationally intensive
- Even with the square-and-multiply algorithm, RSA can be quite slow on constrained devices such as smart cards
- Some important tricks:
  - Short public exponent  $e$
  - Chinese Remainder Theorem (CRT)

## ■ Fast encryption with small public exponent

- Choosing a small public exponent  $e$  does not weaken the security of RSA
- A small public exponent improves the speed of the RSA encryption significantly

Public Key	$e$ as binary string	#MUL + #SQ
$2^1 + 1 = 3$	$(11)_2$	$1 + 1 = 2$
$2^4 + 1 = 17$	$(1\ 0001)_2$	$4 + 1 = 5$
$2^{16} + 1$	$(1\ 0000\ 0000\ 0000\ 0001)_2$	$16 + 1 = 17$

- This is a commonly used trick (e.g. TLS) and makes RSA the fastest asymmetric scheme with regard to encryption!



# ■ Fast decryption with CRT

- Choosing a small private key  $d$  results in security weaknesses!
  - In fact,  $d$  must have at least  $0.3t$  bits, where  $t$  is the bit length of the modulus  $n$
- However, the Chinese Remainder Theorem (CRT) can be used to (somewhat) accelerate exponentiation with the private key  $d$
- Based on the CRT we can replace the computation of

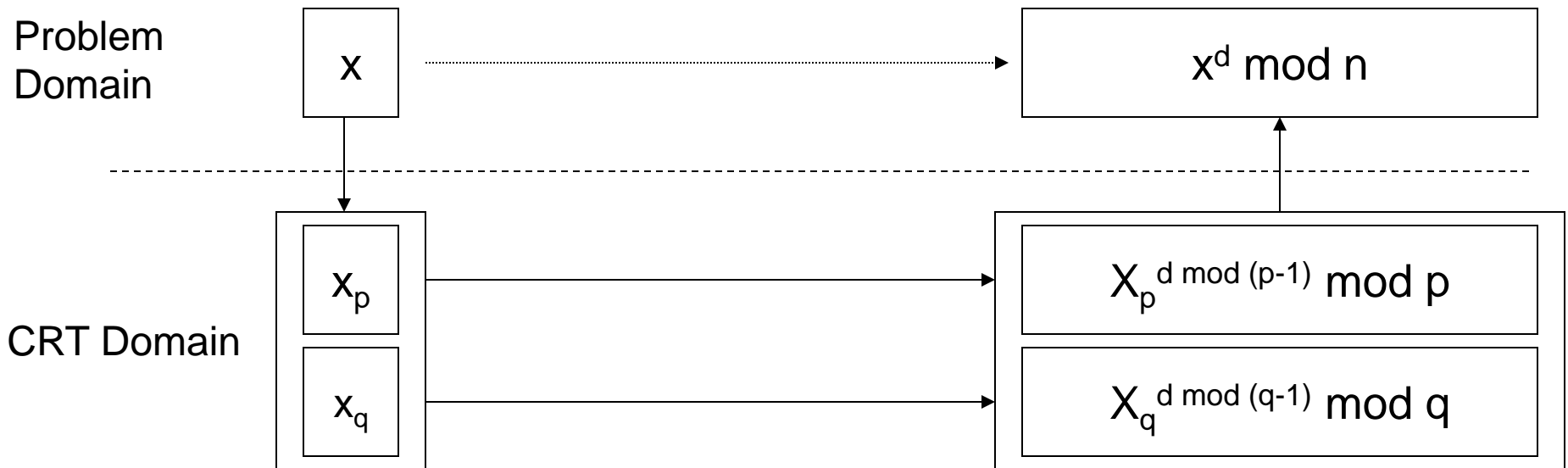
$$x^{d \bmod \Phi(n)} \bmod n$$

by two computations

$$x^{d \bmod (p-1)} \bmod p \quad \text{and} \quad x^{d \bmod (q-1)} \bmod q$$

where  $q$  and  $p$  are „small“ compared to  $n$

# ■ Basic principle of CRT-based exponentiation



- CRT involves three distinct steps
  - (1) Transformation of operand into the CRT domain
  - (2) Modular exponentiation in the CRT domain
  - (3) Inverse transformation into the problem domain
- These steps are equivalent to one modular exponentiation in the problem domain

## ■ CRT: Step 1 – Transformation

- Transformation into the CRT domain requires the knowledge of  $p$  and  $q$
- $p$  and  $q$  are only known to the owner of the private key, hence CRT cannot be applied to speed up encryption
- The transformation computes  $(x_p, x_q)$  which is the representation of  $x$  in the CRT domain. They can be found easily by computing

$$x_p \equiv x \pmod{p} \quad \text{and} \quad x_q \equiv x \pmod{q}$$

## ■ CRT: Step 2 – Exponentiation

- Given  $d_p$  and  $d_q$  such that

$$d_p \equiv d \pmod{p-1} \quad \text{and} \quad d_q \equiv d \pmod{q-1}$$

one exponentiation in the problem domain requires two exponentiations in the CRT domain

$$y_p \equiv x_p^{d_p} \pmod{p} \quad \text{and} \quad y_q \equiv x_q^{d_q} \pmod{q}$$

- In practice,  $p$  and  $q$  are chosen to have half the bit length of  $n$ , i.e.,  
 $|p| \approx |q| \approx |n|/2$

## ■ CRT: Step 3 – Inverse Transformation

- Inverse transformation requires modular inversion twice, which is computationally expensive

$$c_p \equiv q^{-1} \text{ mod } p \quad \text{and} \quad c_q \equiv p^{-1} \text{ mod } q$$

- Inverse transformation assembles  $y_p, y_q$  to the final result  $y \text{ mod } n$  in the problem domain

$$y \equiv [q * c_p] * y_p + [p * c_q] * y_q \text{ mod } n$$

- The primes  $p$  and  $q$  typically change infrequently, therefore the cost of inversion can be neglected because the two expressions

$$[q * c_p] \text{ and } [p * c_q]$$

can be precomputed and stored

## ■ Complexity of CRT

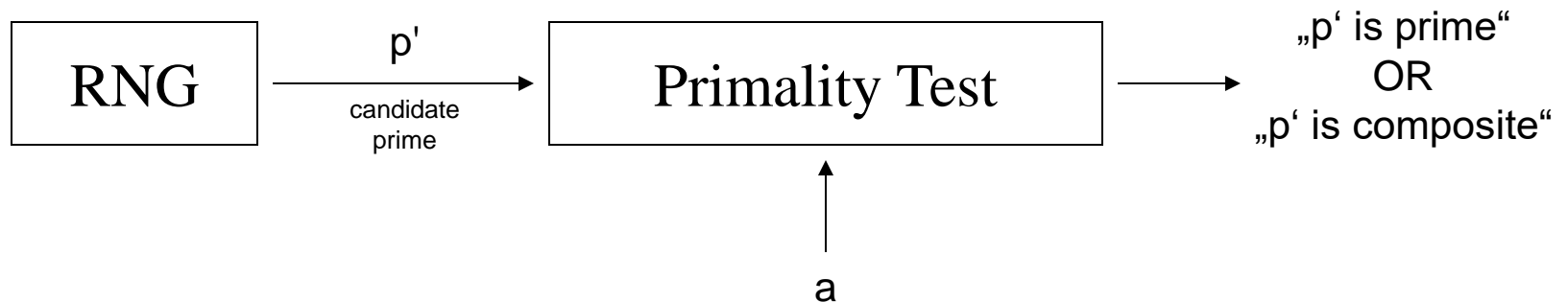
- We ignore the transformation and inverse transformation steps since their costs can be neglected under reasonable assumptions
- Assuming that  $n$  has  $t+1$  bits, both  $p$  and  $q$  are about  $t/2$  bits long
- The complexity is determined by the two exponentiations in the CRT domain. The operands are only  $t/2$  bits long. For the exponentiations we use the square-and-multiply algorithm:
  - # squarings (one exp.):  $\#SQ = 0.5 t$
  - # aver. multiplications (one exp.):  $\#MUL = 0.25t$
  - Total complexity:  $2 * (\#MUL + \#SQ) = 1.5t$
- This looks the same as regular exponentiations, but since the operands have half the bit length compared to regular exponent., each operation (i.e., multipl. and squaring) is 4 times faster!
- **Hence CRT is 4 times faster than straightforward exponentiation**

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# ■ Finding Large Primes

- Generating keys for RSA requires finding two large primes  $p$  and  $q$  such that  $n = p * q$  is sufficiently large
- The size of  $p$  and  $q$  is typically half the size of the desired size of  $n$
- To find primes, random integers are generated and tested for primality:



- The random number generator (RNG) should be non-predictable otherwise an attacker could guess the factorization of  $n$



# ■ Primality Tests

- Factoring  $p$  and  $q$  to test for primality is typically not feasible
- However, we are not interested in the factorization, we only want to know whether  $p$  and  $q$  are composite
- Typical primality tests are probabilistic, i.e., they are not 100% accurate but their output is correct with very high probability
- A probabilistic test has two outputs:
  - „ $p$  is composite“ – always true
  - „ $p$  is a prime“ – only true with a certain probability
- Among the well-known primality tests are the following
  - Fermat Primality-Test
  - Miller-Rabin Primality-Test

# ■ Fermat Primality-Test

- Basic idea: Fermat's Little Theorem holds for all primes, i.e., if a number  $p'$  is found for which  $a^{p'-1} \not\equiv 1 \pmod{p'}$ , it is not a prime

## Algorithm: Fermat Primality-Test

**Input:** Prime candidate  $p'$ , security parameter  $s$

**Output:** „ $p'$  is composite“ or „ $p'$  is likely a prime“

1. **FOR**  $i = 1$  **TO**  $s$
2.     choose random  $a \in \{2, 3, \dots, p'-2\}$
3.     **IF**  $a^{p'-1} \not\equiv 1 \pmod{p'}$  **THEN**
4.         **RETURN** „ $p'$  is composite“
5.     **RETURN** „ $p'$  is likely a prime“

- For certain numbers („Carmichael numbers“) this test returns „ $p'$  is likely a prime“ often – although these numbers are composite
- Therefore, the Miller-Rabin Test is preferred

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# • RSA: Problematic properties

- The following properties of schoolbook RSA are problematic:
  - RSA encryption is deterministic.
  - Plaintext values  $x = 0$ ,  $x = 1$  or  $x = -1$  produce ciphertexts equal to 0, 1 or  $-1$ .
  - Small public exponents  $e$  and small plaintexts  $x$  might be subject to attacks if no padding or weak padding is used.
  - RSA is malleable.
- A cryptographic scheme is said to be malleable if the attacker Oscar is capable of transforming the ciphertext into a different ciphertext that leads to a known transformation of the plaintext.
- Note that the attacker does not decrypt the ciphertext but is merely capable of manipulating the plaintext in a predictable manner..

# • Malleability of RSA

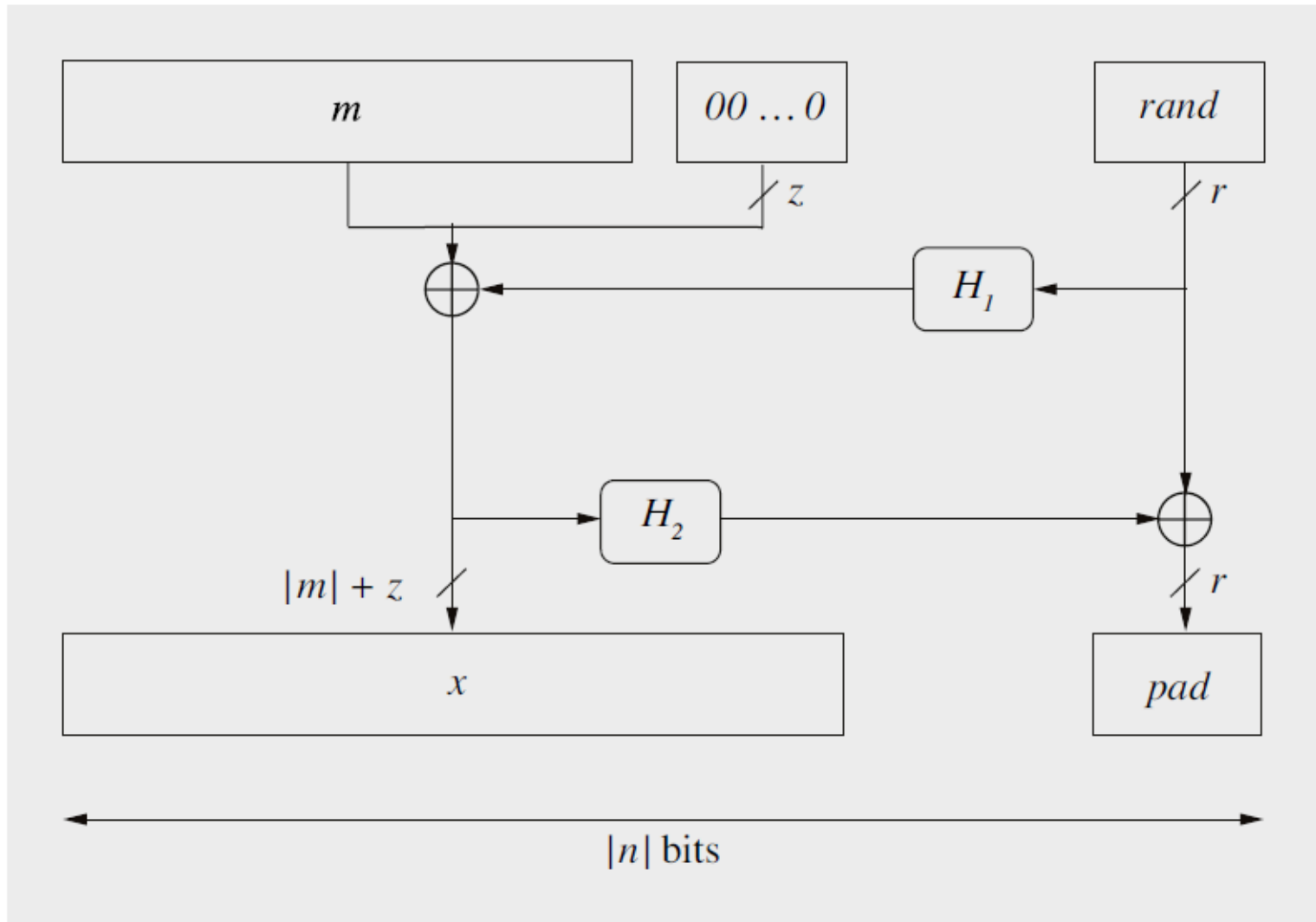
- if the attacker replaces the ciphertext  $y$  by  $s^e y$ , where  $s$  is some integer.
- If the receiver decrypts the manipulated ciphertext, he computes:

$$(s^e y)^d \equiv s^{ed} x^{ed} \equiv sx \pmod{n}$$

- Even though Oscar is not able to decrypt the ciphertext, such targeted manipulations can still do harm.
- For instance, if  $x$  were an amount of money that is to be transferred or the value of a contract, by choosing  $s = 2$  Oscar could exactly double the amount in a way that goes undetected by the receiver.

- **OAEP Padding**

- A possible solution to most of the problems that RSA has is the use of padding, which embeds a **random** structure into the plaintext before encryption.
- Modern techniques such as **Optimal Asymmetric Encryption Padding (OAEP)** for padding RSA messages are specified and standardized in Public-Key Cryptography Standard #1 (PKCS #1).



**Fig. 7.3** Principle of the Optimal Asymmetric Encryption Padding (OAEP) scheme for a message  $m$

- **OAEP Padding**

- Some details of the OAEP scheme as specified in the PKCS #1 Standard have been omitted for clarity.
- It is strongly recommended that the reader refers to the document before implementing OAEP.



# ■ Content of this Chapter

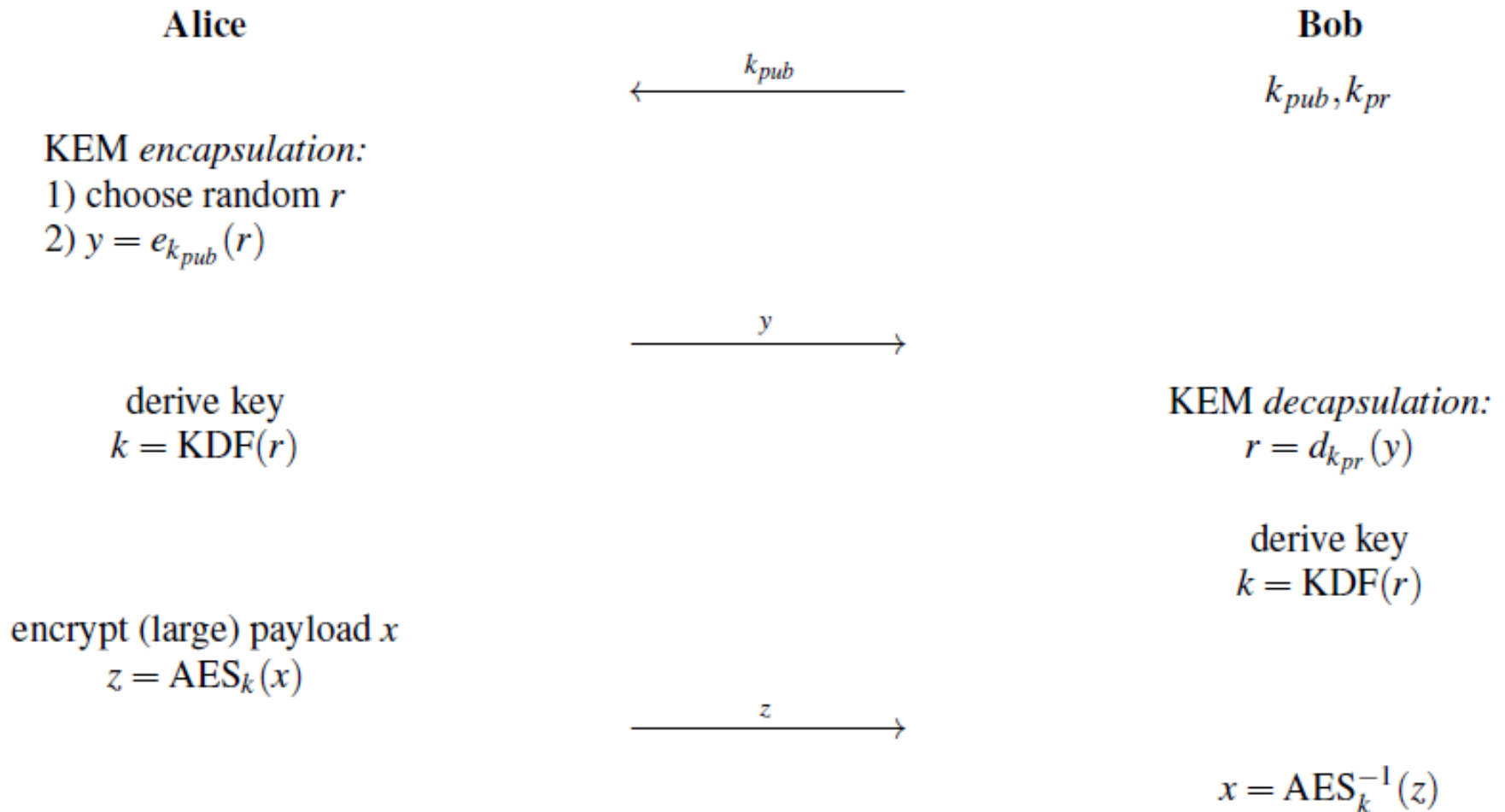
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# • Key Encapsulation

- In practice a public-key encryption scheme is often used to exchange a symmetric key between 2 parties.
- However, directly encrypting a symmetric key, e.g., a 128-bit key for AES, requires padding of the key in order to serve as input for an asymmetric encryption such as RSA-2048.
- Even though padding such as the OAEP scheme is possible, it is often preferred in practice to use a simpler encryption technique called key encapsulation mechanism (KEM) for the exchange of a symmetric key.

- **How a KEM works**

- Alice performs the encapsulation operation of the KEM that generates a random value which is directly encrypted using an asymmetric encryption scheme.
- Bob, on the other side, receives the encrypted packet and uses the decapsulation operation of the KEM to decrypt the random value using his private key.
- Second, the random value is used by both Alice and Bob to derive the symmetric key with the help of a key derivation function (KDF) such as a cryptographic hash function.



**Fig. 7.4** Key encapsulation mechanism with public-key encryption, with AES being used as an example symmetric cipher

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# ■ Attacks and Countermeasures 1/3

- There are two distinct types of attacks on cryptosystems
  - **Analytical attacks** try to break the mathematical structure of the underlying problem of RSA
  - **Implementation attacks** try to attack a real-world implementation by exploiting inherent weaknesses in the way RSA is realized in software or hardware

# ■ Attacks and Countermeasures 2/3

RSA is typically exposed to these analytical attack vectors

- **Mathematical attacks**

- The best known attack is factoring of  $n$  in order to obtain  $\Phi(n)$
- Can be prevented using a sufficiently large modulus  $n$
- The current factoring record is 829 bits. Thus, it is recommended that  $n$  should have a bit length between 2048 and 4096 bits

- **Protocol attacks**

- Exploit the malleability of RSA, i.e., the property that a ciphertext can be transformed into another ciphertext which decrypts to a related plaintext – without knowing the private key
- Can be prevented by proper padding

# ■ Attacks and Countermeasures 3/3

- Implementation attacks can be one of the following
  - **Side-channel analysis**
    - Exploit physical leakage of RSA implementation (e.g., power consumption, EM emanation, etc.)
  - **Fault-injection attacks**
    - Inducing faults in the device while CRT is executed can lead to a complete leakage of the private key

More on all attacks can be found in Section 7.9 of *Understanding Cryptography*



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## ■ Lessons Learned

- RSA is mainly used for key transport and digital signatures
- The public key  $e$  can be a short integer, the private key  $d$  needs to have the full length of the modulus  $n$
- RSA relies on the fact that it is hard to factorize  $n$
- A naïve implementation of RSA allows several attacks, and in practice RSA should be used together with padding